# Efrén Cruz Cortés, PhD

# **Data Science Fellow**

University of Michigan, Ann Arbor, MI

# encc@umich.edu efrencc.github.io/ @cafedemostenes efrén-cruz-cortés

# **Summary**

I am currently a <u>Data Science fellow</u> at the <u>Michigan institute of Data Science</u> and the <u>Center for the Study of Complex Systems</u> at the University of Michigan. I work on machine learning research, focused on algorithmic fairness and ethical and responsible artificial intelligence. I design and analyze algorithms and sociotechnical interventions mostly in the financial lending and criminal justice systems. I work in a very interdisciplinary environment, collaborating with social scientists and in conversation with policy makers and community stakeholders. I got my PhD from the University of Michigan in Electrical Engineering. Subsequently I worked at a medical facility implementing machine learning for cancer classification and Parkinson's early onset detection, after which I decided to return to academia proper for a statistics fellowship at Penn State before returning to U of M.

**Keywords:** ethical AI, algorithmic impact, complexity, causal inference, category theory, kernel methods, dynamical systems.

### **Education and Experience**

PhD Electrical Engineering	University of Michigan, Ann Arbor, MI	2017
Eberly Fellowship in Statistics	Penn State University	2019-2021
<b>DISI Summer Institute</b>	St Andrews, Scotland	Summers 2018 - 2020
Research Scientist	Anschutz Medical Campus	2017-2019
MS Electrical Engineering	University of Michigan, Ann Arbor, MI	2014
BS Mathematics / Electrical Eng.	Brigham Young University	2012

### **Relevant Publications**

- ECC, S. Rajtmajer, D. Ghosh, Locality of Technical Objects and Structural Interventions, FAccT 2022.
- R. Barbe, JP Caron, ECC, et al., Working Through Political Organization, Crisis and Critique, Vol. 9 Iss. 2, 2022.
- ECC and S. Rajtmajer, Structural interventions on automated decision making systems, AFCR NeurIPS 2021.
- ECC and D. Ghosh, An invitation to system-wide algorithmic fairness, AIES, 2020.

### **Skills**

**Programming: Python, R, Matlab. Frameworks:** Machine Learning, Agent Based Modeling, Complex Systems. **Mathematical:** Fair machine learning, kernel methods, dynamical systems, statistics, causal inference. **Work:** Leadership, Organization, Interdisciplinarity, Community Involvement. **Teaching:** Computational Statistics, Complex Systems. **Fun:** Modern Dance, Capoeira, Art collaborations.

# Leadership and Networks

- Organizer <u>Datatopia: The Future of Scientific Discovery Through a Data Lens.</u>
- Organizer <u>Building Ethical and Trustworthy AI</u>.
- Mentoring Six students for research on fair algorithmic design. Two got an award for the project.
- Networks Tierra Común; Subset of Theoretical Practice, Future Leaders Summit

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## **Publications**

### Technology and Society

- R. Barbe, JP Caron, E. Cruz Cortés, et al., Working Through Political Organization, Crisis and Critique, Vol. 9 Iss. 2, 2022.
- E. Cruz Cortés, S. Rajtmajer, D. Ghosh, Locality of Technical Objects and the Role of Structural Interventions for Systemic Change, FAccT 2022.
- E. Cruz Cortés and S. Rajtmajer, Structural interventions on automated decision making systems,
   Workshop on Algorithmic Fairness, Causality and Robustness at NeurIPS 2021.
- E. Cruz Cortés and D. Ghosh, An invitation to system-wide algorithmic fairness, Artificial Intelligence, Ethics, and Society, 2020.

### Other

- E. Cruz Cortés, K. Josey, F. Yang, D. Ghosh, An Empirical process framework for covariate balance in causal inference, *arxiv.org/abs/2301.00889*, 2023.
- E. Cruz Cortés, F. Yang, E. Juárez-Colunga, T. Warsavage, D. Ghosh, Comment on "Statistical Modeling: the Two Cultures" by Leo Breiman, *Journal of Observational Studies*, 2021.
- P. Rudra, E. Cruz Cortés, X. Zhang and D. Ghosh, Multiple testing approaches for hypothesis in integrative genomics, Wiley Interdisciplinary Reviews Computational Statistics, 2019.
- D. Ghosh and E. Cruz Cortés, A Note on Strict Functional Overlap in Causal Inference Problems with High-dimensional Covariates. *Journal of Causal Inference*, 2019.
- E. Cruz Cortés and C. Scott, Consistent Kernel Density Estimation with Non-Vanishing Bandwidth. arxiv.org/abs/1705.08921.
- C. Croft, E. Cruz Cortés, J. Harge and L. Tawil, "A Provocation Towards Moving", QED: A Journal
  of GLBTQ Worldmaking queer resistance, vol.4, iss. 2, pg. 71, 2017.
- E. Cruz Cortés and C. Scott, Sparse Approximation of a Kernel Mean, *IEEE Transaction in Signal Processing* 65, pp. 1310-1323, 2017.
- E. Cruz Cortés and C. Scott, Scalable sparse approximation of a sample mean. *Proc. 2014 IEEE Int. Conf. on Acoustic, Speech and Signal Processing (ICASSP)*, pp. 5274-5278, 2014.

- B. L. Mellor, E. Cruz Cortés, S. Khadka, and B. A. Mazzeo. Increased bandwidth for dielectric spectroscopy of proteins through electrode surface preparation. *Review of Scientific Instruments* 83, 015110 (2012).
- B. L. Mellor, **E. Cruz Cortés**, D. D. Busath, and B. A. Mazzeo. Method for estimating the internal permittivity of proteins using dielectric spectroscopy. *Journal of Physical Chemistry B* 115, 2205 (2011).

# **Working Papers**

# Algorithmic Harms

- Epistemic transfer in data science.
- The cognitive and cultural basis of algorithm design, with Devaux, Masewari et al.
- "Noise" in machine learning error or possibility? with Roy.

# **Other Projects**

- Cultural evolution of music, with Han Veiga et al.
- "From Chemistry to History Via Kernel Embedding", with Aronowitz et al.
- "The Aesthetics of Balance", with deYoung and Zaferiou.

# Teaching and Service

### **Networks**

- <u>Tierra Común</u>
- Subset of Theoretical Practice

# **Teaching**

- Complex Systems / Political Science 391 Modeling Political Processes. University of Michigan, Winter 2023, Winter 2022.
- Complex Systems 270 Agent Based Modeling. University of Michigan, Fall 2022.
- Statistics 440 Computational Statistics. Pennsylvania State University, Spring 2021, Fall 2020.
- Electrical Engineering 564 Estimation, Filtering and Detection (GS Instructor). Graduate Course at University of Michigan, Winter 2017.
- Electrical Engineering 545 Machine Learning (GS Instructor). Graduate Course at University of Michigan, Fall 2015.

# **Supervised Students** (\* indicates I'm the main advisor)

- \* Cerella Zhuang (B.S.) Feminicides.
- \* Glenn Brye (B.S.) Ontology logs as scientific representations.
- \* Alex Tecum-Ramos (B.S.) Industry funding influence on AI research.
- \* Jonathon Rosevelt (B.S.) Detection of biases by AI students/experts.
- \* Elija Weinberg (B.S.) Detection of biases by AI students/experts.
- \* Esha Maheswari (B.S.) Mental Representations and mechanisms of assimilation.
- \* AJ deVaux (B.S.) Mental Representations.
- Qisen Cheng (M.S.) Human Movement Stability.
- Mayank Garg (M.S.) Human Movement Stability.
- Sharath Sridhar (M.S.) Discriminative Kernels.
- Huang Jian (B.S.) Fixed Bandwidth KDE.
- Kevin Ong (B.S.-M.S.) Sparse Kernel Means.

# **Service**

- Datatopia: The Future of Scientific Discovery Through a Data Lens, organizer, University of Michigan 2022
- Data Science for Public Good planning committee, University of Michigan 2021 2022
- MIDAS Hackathon, organizer, University of Michigan 2022
- HEART-STEAM, "(un)ethical history of science" working group, organizer, University of Colorado
   Anschutz Medical Campus 2019
- Liaison for campus wide Machine Learning Reading Group, UC Anschutz 2017-2019

- Committee for new Data Science Graduate Certificate, UC Anschutz 2017-2018
- American Mathematical Society, reviewer 2017
- IEEE Transactions on Information Theory, reviewer 2017
- International Symposium on Biomedical Imaging, reviewer 2015-2016

# Talks and ongoing research

# **Ongoing Research Projects**

- Datafication of social categories
- Cognitive and cultural basis of algorithmic design
- System-wide algorithmic fairness and policy interventions through a categorical perspective

### Talks and Simile

- "Radical Cybernetics: The activist's guide to data science", NxtGEN Workshop, Online, 2022.
- "Systemic harms in automated societies", Future Leaders Summit, Ann Arbor, 2022.
- "Designing Fair Machine Learning: ditch the fair and ditch the machine learning", *Center for the Study of Complex Systems*, University of Michigan, 2021.
- "Systemic Deficiencies in Algorithmic Decision Making", Data Studies, Pennsylvania State University, 2020.
- "Sowing against Proprietary Systems", *Society for Literature, Science, and the Arts,* Irvine, California, 2019.
- "A Simulation Based Dynamical Evaluation Framework for System-wide Algorithmic Fairness",
   International Biometric Society ENAR, Philadelphia, Pennsylvania, 2019.
- "Algorithmic fairness a systemic perspective", *Diverse Intelligences Summit,* University of St Andrews, 2019.
- "Emergent Choreographies", master class, University of Michigan Department of Dance, 2018. With P.
   Solórzano.
- "Automatic Diagnosis of Parkinson's Disease Using Deep Learning", UC Anschutz, 2018.
- "Variable Weight Kernel Estimators", Flatiron Institute, NYC, New York, 2017.
- "Machine Learning for the Perplexed", Complex Systems Advanced Academic Workshop, University of Michigan, 2016.
- "Ecodance Improvisations and Complex Systems", Invited workshop for International Dance and Movement Arts Forum Performática 2016. With P. Solórzano.
- "Fixed Bandwidth Kernel Density Estimators", Statistical Learning Theory Summer Workshop, University of Michigan, 2016.
- "Scalable Sparse Representation of Kernel Means", Michigan Symposium for Interdisciplinary Statistical
   Sciences, University of Michigan, 2015.

# **ART**

### **Selected Art Exhibitions and Performances**

- Prologues and Protocols for the Coven Intelligence Program, International Symposium of Electronic Arts,
   Paris, France, 2023.
- Insurgent Ecologies (Machine Chronicles I-III), Pixelache-BURN, Helsinki, Finland, 2021.
- Arising from the underground: cyphers and prophecies. The Witch Institute, Queen's University, 2021.
- One two three potions a secret word/ and soon you'll see a freer world, AFTER LIFE (we survive), Yerba
   Buena Center for the Arts, San Francisco, California, 2020-2021.
- APRIORI, Ars Electronica, Linz, Austria, 2019.
- Field Studies for Haunting Properties, Queer Paranormal, Bennington, Vermont, 2019.
- The Imprudent Cartography of Thought, by Paty Solórzano. Triskelion Arts (New York City), 2017.
   Detroit Dance City Festival and International Dance Forum Performática (México), 2016
- Frontera Blues, by Paty Solórzano. Detroit Sidewalk Festival, 2016.
- Goodbye to Wayward Flesh, by Amy Chavasse. Momentum, Power Center for the Arts, 2015.
- Space, by Anthony Alterio. Duderstadt Center, 2015.
- There are no People Here, by Jennifer Harge. Detroit Sidewalk Festival, 2015.
- Memory Loser, by Anna Martine Whitehead. Meanings and Makings of Queer Dance, 2015.
- Unending, with A. Yang, S. Hoskins, P. Solórzano and Steelworks, The Yellow Barn, 2015.

# **Current Art Projects**

- Coven Intelligence Program: On rhizomes, witches, and machines with Haughwout.
- Mirror Sky: networks, identity, and Mayan astronomy with Navarrete and Solórzano.
- Imprudent Cartography of Thought: Borders, cognition and dance with Solórzano.